

PROVIDER AND REPRESENTATIVE DISCLOSURES IN TERMS OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT (FAIS)

As a client you have the right to the following information:

1. YOUR FINANCIAL SERVICES PROVIDER, TRUFFLE ASSET MANAGEMENT (PTY) LTD

Name	Truffle Asset Management (Pty) Limited
FSP Number	36584
Physical address	Ground Floor, Lancaster Gate Building, Hyde Park Lane Business Complex, Hyde Lane , Corner William Nicol and Jan Smuts, Hyde Park
Postal address	P.O. Box 535, Pinegowrie, 2123
Tel	+27 11 325 0030
Fax	+27 11 325 0331
Email	louis@truffle.co.za or hannes@truffle.co.za
Web	www.truffle.co.za

2. LEGAL STATUS OF PROVIDER

Truffle Asset Management (Pty) Limited ("Truffle Asset Management") is a private company that was established in 2008. As a licensed Financial Services Provider in terms of the FAIS Act, Truffle Asset Management accepts responsibility of its representatives acting within their mandates, in rendering services defined by FAIS. Our key individuals meet the fit and proper requirements as prescribed by FAIS, to assist you in a professional manner with your financial requirements.

3. DETAILS OF KEY INDIVIDUALS

Name Mr Hannes van der Westhuyzen

Office Address Ground Floor, Lancaster Gate Building, Hyde Park Lane Business Complex,
Hyde Lane , Corner William Nicol and Jan Smuts, Hyde Park, Sandton , 2196

Postal address P O Box 535, Pinegowrie, 2123

Tel +27 11 325 0030

Fax +27 11 325 0331

Email hannes@truffle.co.za

Name Mr Louis van der Merwe

Office Address Ground Floor, Lancaster Gate Building, Hyde Park Lane Business Complex,
Hyde Lane , Corner William Nicol and Jan Smuts, Hyde Park, Sandton , 2196

Postal address P O Box 535, Pinegowrie, 2123

Tel +27 11 325 0030

Fax +27 11 325 0331

Email hannes@truffle.co.za

4. DETAILS OF REPRESENTATIVES

Name Mr Charles Booth

Office Address Ground Floor, Lancaster Gate Building, Hyde Park Lane Business Complex,
Hyde Lane , Corner William Nicol and Jan Smuts, Hyde Park, Sandton , 2196

Postal address P O Box 535, Pinegowrie, 2123

Tel +27 11 325 0030

Fax +27 11 325 0331

Email charles@truffle.co.za

Name Mr Stefanus Du (SJ) Preez

Office Address Ground Floor, Lancaster Gate Building, Hyde Park Lane Business Complex,
Hyde Lane , Corner William Nicol and Jan Smuts, Hyde Park, Sandton , 2196

Postal address P O Box 535, Pinegowrie, 2123

Tel +27 11 325 0030

Fax +27 11 325 0331

Email sj@truffle.co.za

5. LEGAL STATUS OF REPRESENTATIVES

- 5.1 Truffle Asset Management confirms that its representatives are mandated and entitled to render financial advice and/or intermediary services to you in terms of the FAIS Act.
- 5.2. Truffle Asset Management representatives are required to have Professional Indemnity Insurance.

6. TRUFFLE ASSET MANAGEMENT COMPLIANCE AND COMPLAINTS DEPARTMENT

Compliance is outsourced to an independent compliance company – Kailash Compliance Consulting (Pty) Limited, the Compliance Officer is Ms Samantha Padayachee. If you have a complaint or a compliance related query, please do not hesitate to contact us directly or contact our compliance officer on 0836793342 or email sam@kcompliance.co.za , to assist you in this regard.

7. FAIS OMBUD

Should a complaint not be resolved to your satisfaction, you may forward such a complaint to the office of the Ombud for Financial Service Providers.

Name Mr. Charles Pillay

Contact (012) 428-8000

Facsimile (012) 347-0221

Toll Free 0800 110 443

Address The Financial Services Board, Po Box 35655, Menlo Park, 0102

8. OTHER MATTERS OF IMPORTANCE

- 8.1. Do not sign any blank or partially completed application form or agreements
- 8.2. Complete all forms in ink
- 8.3. Keep all documents handed to you
- 8.4. In terms of the Financial Intelligence Centre Act, Truffle Asset Management is obliged to report any suspicious and unusual transaction that may facilitate money laundering.
- 8.5. It is important that you are absolutely sure that the product or transaction meets your needs and that you feel you have all the information you need before making a decision.

9. FINANCIAL SERVICES PRODUCTS

As an authorised Financial Services Provider, Truffle Asset Management and its representatives has been licensed by the Financial Services Board in terms of the FAIS Act, to render advice and intermediary services in respect of the following financial products with various product suppliers:

CATEGORY I

- Securities and Instruments :Shares
- Securities and Instruments : Money market instruments
- Securities and Instruments : Debentures and securitised debt
- Securities and Instruments : Warrants, certificates and other instruments
- Securities and Instruments : Bonds
- Securities and Instruments : Derivative instruments
- Participatory interests in Collective Investment Schemes
- Deposits Defined in the Banks Act - exceeding 12 months
- Deposits defined in the Banks act - 12 months or less

CATEGORY II - Discretionary FSP - INTERMEDIARY SERVICES

Securities and Instruments : Shares

Securities and Instruments : Money market instruments

Securities and Instruments : Debentures and securitised debt

Securities and Instruments : Warrants, certificates and other instruments

Securities and Instruments : Bonds

Securities and Instruments : Derivative instruments

Participatory interests in Collective Investment Schemes

CATEGORY IIA - Hedge Fund FSP